

## STRICT 2-THRESHOLD GRAPHS

N.V.R. MAHADEV \*

*Department of Mathematics, Northeastern University, Boston, MA 02115, USA*

Uri N. PELED

*Department of Mathematics, Statistics, and Computer Science, University of Illinois at Chicago, Chicago, IL 60680, USA*

Received 6 February 1987

Revised 18 June 1987

A graph  $G$  is called a *strict 2-threshold* graph if its edge-set can be partitioned into two threshold graphs  $T_1$  and  $T_2$  such that every triangle of  $G$  is also a triangle of  $T_1$  or of  $T_2$ . We indicate a polynomial-time algorithm to recognize these graphs and characterize them by forbidden configurations.

### 1. Introduction

All graphs considered are finite, simple and undirected.  $V(G)$  and  $E(G)$  denote the vertex set and the edge set of a graph  $G$ , respectively. We denote by  $xy$  an edge with ends  $x$  and  $y$ , and by  $\overline{xy}$  a non-edge with ends  $x$  and  $y$ . In other words,  $\overline{xy}$  indicates that the vertices  $x$  and  $y$  are not adjacent. If  $K$  is any subset of vertices, then  $N_K(x)$  denotes the set of all vertices in  $K$  that are adjacent to  $x$ . The size of  $N_K(x)$  is denoted by  $\deg_K x$  and is called the *degree* of  $x$  in  $K$ . A *clique* is a set of pairwise adjacent vertices and a *stable set* is a set of pairwise non-adjacent vertices. A graph induced by a clique is called a *complete graph*, and a complete graph on three vertices is called a *triangle*.

A graph is called a *threshold graph* if there is a hyperplane separating the characteristic vectors of its stable sets from the characteristic vectors of its non-stable sets. In other words, a graph  $G$  on  $n$  vertices is a threshold graph if there exist reals  $a_1, a_2, \dots, a_n$ , and  $t$  such that the characteristic vectors of the stable sets of  $G$  are precisely the 0–1 solutions of

$$a_1x_1 + a_2x_2 + \dots + a_nx_n \leq t.$$

Various characterizations of threshold graphs can be found in [1, 4]. The following two lemmas give two characterizations that are important for our study.

Let  $K$  be any subset of vertices of a graph  $G$ . We say that the vertices  $x$  and  $y$  are *comparable in  $K$*  if  $N_K(x) \subseteq N_K(y) \cup \{y\}$  or  $N_K(y) \subseteq N_K(x) \cup \{x\}$ .

\*This author acknowledges the partial support for this work by the Canadian NSERC Grant No. A8916.

**Lemma 1.1** [1].  *$G$  is a threshold graph if and only if  $V(G)$  can be partitioned into a clique  $K$  and a stable set  $S$  such that every two vertices in  $S$  are comparable in  $K$ .*

**Lemma 1.2** [1].  *$G$  is a threshold graph if and only if it does not contain any one of the graphs of Fig. 1 as an induced subgraph.*

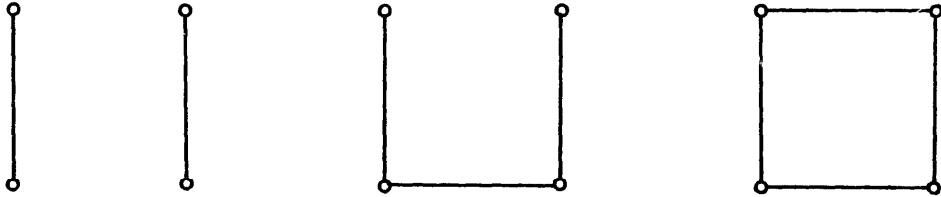


Fig. 1. The forbidden induced subgraphs of threshold graphs.

In all the figures in this paper, solid lines represent edges and broken lines (if any) represent non-edges. A *configuration* is a specification of a set of edges and a set of non-edges of some graph, the two sets being disjoint. A graph  $G$  is said to contain a configuration  $H$  if all the edges of  $H$  are also edges of  $G$  and all the non-edges of  $H$  are also non-edges of  $G$ . For instance, all the graphs on four vertices that contain the configuration of Fig. 2 are shown in Fig. 1. In this terminology, Lemma 1.2 can be restated as follows:  $G$  is a threshold graph if and only if it does not contain the configuration of Fig. 2.

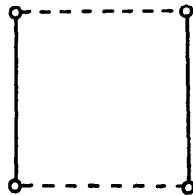


Fig. 2. The forbidden configuration of threshold graphs.

Two non-incident edges  $e$  and  $f$  are said to be *in conflict* if one end of  $e$  is not adjacent to one end of  $f$  and the other end of  $e$  is not adjacent to the other end of  $f$ . For instance, the two edges of Fig. 2 are in conflict. The following remark follows from the above considerations.

$G$  is a threshold graph if and only if no two edges of  $G$  are in conflict. (1.1)

The *threshold dimension* of a graph  $G$  is the smallest number of threshold subgraphs required to cover  $E(G)$ . Yannakakis [11] has shown that recognizing the graphs with threshold dimension at most  $k$  is NP-complete for any fixed  $k \geq 3$ . Graphs with threshold dimension 2 are also called *2-threshold graphs*. Cozzens and Leibowitz [3] have reported that recognizing 2-threshold graphs is also NP-complete. For various results on 2-threshold graphs see [2, 5–8, 10].

A graph  $G$  is called a *strict 2-threshold graph* if it satisfies the following condition:

$$\begin{aligned} E(G) \text{ can be partitioned into two threshold graphs } T_1 \text{ and } T_2 \\ \text{such that every clique of } G \text{ is also a clique of } T_1 \text{ or of } T_2. \end{aligned} \quad (1.2)$$

Strict 2-threshold graphs are known to be comparability graphs [7].

If in (1.2) we replace the phrase “partitioned into” by the phrase “covered by”, then we obtain a more general class of graphs called *cobithreshold graphs*. A polynomial-time algorithm to recognize cobithreshold graphs is given in [5], and a partial characterization by forbidden configurations is given in [8] for the complements of these graphs. No complete characterization by forbidden configurations is known for the class of cobithreshold graphs.

In Section 2 we indicate a polynomial-time algorithm to recognize strict 2-threshold graphs and give a list of forbidden configurations for this class. In Section 3 we prove that this list is complete, thus obtaining a characterization of strict 2-threshold graphs by forbidden configurations.

Notice that in the definition (1.2) of strict 2-threshold graphs, we may replace the word “clique” by the word “triangle”. In the rest of this paper we use the following equivalent definition for strict 2-threshold graphs:

$$\begin{aligned} \text{A graph } G \text{ is a strict 2-threshold graph if and only if } E(G) \text{ can} \\ \text{be partitioned into two threshold graphs } T_1 \text{ and } T_2 \text{ such that} \\ \text{every triangle of } G \text{ is also a triangle of } T_1 \text{ or of } T_2. \end{aligned} \quad (1.3)$$

## 2. Eight forbidden configurations

The algorithm for recognizing strict 2-threshold graphs is a simplified version of the algorithm for recognizing cobithreshold graphs given in [5]. For the sake of completeness, we give here the version of the algorithm for recognizing strict 2-threshold graphs.

Given any graph  $G$ , its *conflict graph*  $G^*$  is defined by:

$$\begin{aligned} V(G^*) = E(G), \text{ and two vertices of } G^* \text{ are adjacent if and only} \\ \text{if the corresponding edges of } G \text{ are in conflict.} \end{aligned} \quad (2.1)$$

Observe that if  $T$  is any threshold subgraph of  $G$ , then  $E(T)$  corresponds to a stable set of  $G^*$ , since by (1.1) no two edges of  $T$  are in conflict in  $G$ . It follows that a necessary condition for a graph  $G$  to be 2-threshold is that  $G^*$  is bipartite. Moreover, if  $T_1$  and  $T_2$  are the threshold graphs as given in the definition (1.3) of a strict 2-threshold graph  $G$ , then  $E(T_1)$  and  $E(T_2)$  partition  $V(G^*)$  into two stable sets such that the three vertices of  $G^*$  corresponding to the edges of any triangle in  $G$  are all in the same side of the partition. This gives a necessary condition for a strict 2-threshold graph  $G$ . The sufficiency of this condition is stated in Theorem 2.1 below.

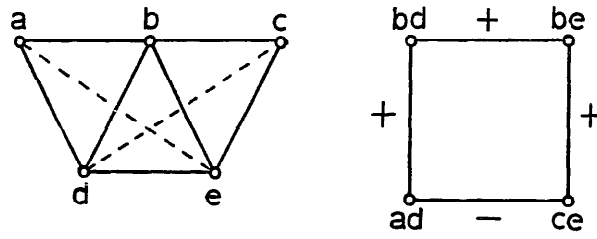
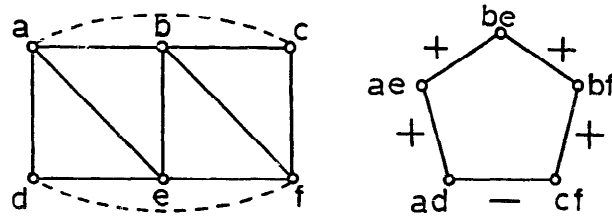
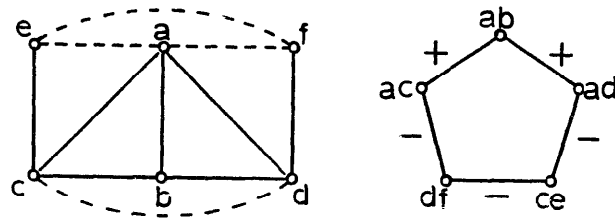
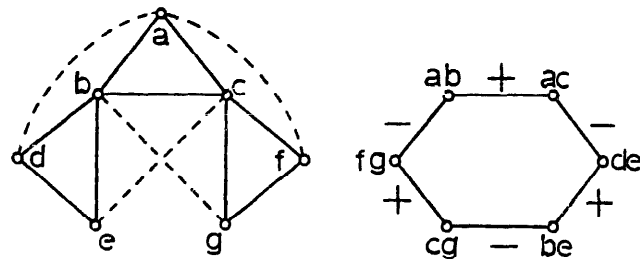
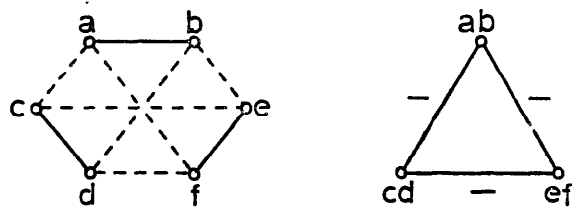
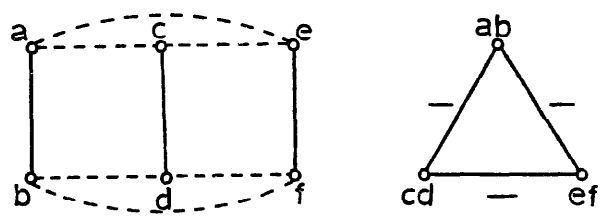
 $H_1(abcde)$  $H_2(abcdef)$  $H_3(abcdef)$  $H_4(abcdefg)$ 

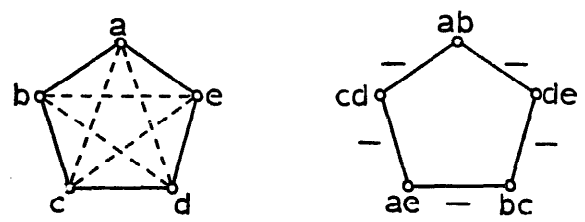
Fig. 3. The eight forbidden configurations of strict 2-threshold graphs. Corresponding negative cycles in the associated signed graphs are also indicated.



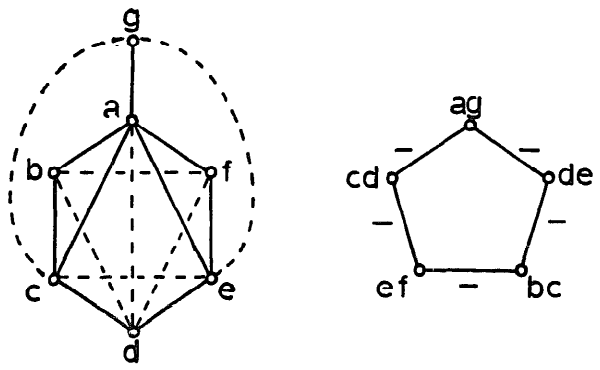
$H_5(abcdef)$



$H_6(abcdef)$



$H_7(abcde)$



$H_8(abcdefg)$

Fig. 3. Continued.

A *signed graph* is a graph  $H$  in which  $E(H)$  is partitioned into two sets  $E^+(H)$  and  $E^-(H)$ , called the sets of positive and negative edges, respectively.

A signed graph  $H$  is said to be *balanced* if no cycle of  $H$  contains an odd total number of negative edges (a *negative cycle*), or equivalently [9], if  $V(H)$  can be partitioned into two sets  $V_1$  and  $V_2$  such that each negative edge of  $H$  has an end in  $V_1$  and an end in  $V_2$ , and each positive edge of  $H$  has both ends in  $V_1$  or both in  $V_2$ . (2.2)

Given any graph  $G$ , construct its signed graph  $H(G)$  as follows:

- (1)  $V(H) = V(G^*) = E(G)$ ,  $E^-(H) = E(G^*)$  (see (2.1)),
- (2)  $E^+(H) = \{ef: e \text{ and } f \text{ are two edges of some triangle in } G\}$ . (2.3)

**Theorem 2.1.** *A graph  $G$  is a strict 2-threshold graph if and only if  $H(G)$  defined in (2.3) is balanced.*

We omit the proof of this theorem because it is similar to (in fact, is a simplification of) the proof of [5, Theorem 3.7].

The above theorem can be used to recognize strict 2-threshold graphs and find  $T_1$  and  $T_2$  as in (1.3).

**Algorithm.** Given any graph  $G$  with  $m$  edges.

(1) Construct its signed graph  $H(G)$  given by (2.3). This can be done in  $O(m^2)$  time.

(2) Check if  $H(G)$  is balanced. This can be done in  $O(m^2)$  time.

By Theorem 2.1,  $G$  is a strict 2-threshold graph if and only if  $H(G)$  is balanced.

The next theorem is useful in characterizing strict 2-threshold graphs by forbidden configurations.

Figure 3 lists eight configurations  $H_1, H_2, \dots, H_8$ . To the right of each of them is a negative cycle in the associated signed graph. The configurations  $H_5$  and  $H_6$  are referred to as *triple conflicts* (since they contain three pairwise conflicting edges). The only graph of the configuration  $H_7$  is called a *pentagon*.

**Theorem 2.2.** *If  $G$  is a strict 2-threshold graph, then  $G$  does not contain any of the configurations  $H_1, H_2, \dots, H_8$  of Fig. 3.*

**Proof.** It is easy to see that every induced subgraph of a threshold graph is also a threshold graph, and hence every induced subgraph of a strict 2-threshold graph is also a strict 2-threshold graph. Hence it is enough to show that none of  $H_1, \dots, H_8$  can be completed to a strict 2-threshold graph by specifying the missing edges and non-edges. By virtue of Theorem 2.1, this can be done by showing that each of the associated signed graphs of these configurations contains a negative cycle. Such cycles are illustrated in Fig. 3. Hence the theorem.  $\square$

### 3. Sufficiency of forbidding the configurations

We show in this section that every graph that contains none of the configurations listed in Fig. 3 is a strict 2-threshold graph, thus obtaining the promised characterization. We first need to prove a series of lemmas. The following two remarks are easily verified.

**Remark 3.1.** Two vertices  $x$  and  $y$  are not comparable in  $K$  if and only if there exist two vertices  $x'$  and  $y'$  in  $K$  such that  $xx'$ ,  $yy'$ ,  $\overline{xy'}$ , and  $\overline{yx'}$ . We say in this case that  $x$  and  $y$  are in conflict in  $K$ .

**Remark 3.2.** Let  $e$  and  $f$  be two non-incident edges. Then  $e$  and  $f$  do not conflict if and only if one end of  $e$  is adjacent to both ends of  $f$  or one end of  $f$  is adjacent to both ends of  $e$ . In either case, three of the four ends of  $e$  and  $f$  form a triangle, and conversely.

Throughout the rest of the paper, let  $G=(V, E)$  be a graph not containing any of the configurations shown in Fig. 3. We use the following notations. Let  $K$  be a largest clique in  $G$ . Put

- (1)  $L := V \setminus K$ ,
- (2)  $A := \{x \in L : \deg_K x \geq 2\}$ ,
- (3)  $B := \{x \in L : \deg_K x = 1 \text{ and } N_K(x) \subseteq N_K(y) \text{ for all } y \in A\}$ ,
- (4)  $C := \{x \in L \setminus B : \deg_K x = 1\}$ , and
- (5)  $D := \{x \in L : \deg_K x = 0\}$ .

We refer to an edge between  $K$  and  $A$  as an  $A$ -edge, and similarly for  $B$ -edges and  $C$ -edges.

**Remark 3.3.**  $N_K(C) \cap N_K(B)$  is empty (where  $N_K(X) := \bigcup_{x \in X} N_K(x)$ ).

**Remark 3.4.** Every edge in  $L$  is in conflict with some edge in  $K$ .

**Proof.** Let  $ab$  be an edge in  $L$ . Then,  $K$  being a largest clique, there exist two distinct vertices  $a'$  and  $b'$  in  $K$  such that  $\overline{aa'}$  and  $\overline{bb'}$ , for otherwise one could enlarge  $K$  by adding  $a$  or  $b$  or both and removing their neighbors in  $K$ . Clearly  $ab$  and  $a'b'$  are in conflict.  $\square$

**Lemma 3.1.** Every two vertices of  $A$  are comparable in  $K$ .

**Proof.** Assume  $a, b$  are two vertices in  $A$  that are not comparable in  $K$ . Then by Remark 3.1, there exist  $c, d$  in  $K$  such that  $ac$ ,  $bd$ ,  $\overline{ad}$  and  $\overline{bc}$ . If there exists  $x$  in  $K$  such that  $xa$ ,  $xb$ , then clearly  $G$  contains  $H_1(axbcd)$ —a contradiction. Otherwise there exist  $x, y$  in  $K$  such that  $xa$ ,  $\overline{xb}$ ,  $yb$ , and  $\overline{ya}$ . But then  $G$  contains  $H_2(ycabdx)$ —a contradiction. Hence the lemma.  $\square$

**Lemma 3.2.** *The size of  $N_K(B \cup C)$  is at most 2.*

**Proof.** Otherwise  $G$  contains a triple conflict.  $\square$

**Lemma 3.3.** *The size of  $N_K(C)$  is at most 1.*

**Proof.** By definition of  $C$ , any vertex of  $C$  is in conflict in  $K$  with some vertex of  $A$  (see Remark 3.1). Thus if  $c_1, c_2$  in  $C$  have two distinct neighbors in  $K$ , then there exist  $a_1, a_2$  in  $A$  such that  $a_i$  is in conflict in  $K$  with  $c_i$  for  $i=1, 2$ . If  $a_1 = a_2$ , then  $G$  contains a triple conflict. Otherwise  $a_1$  and  $a_2$  are distinct, and we may assume that  $a_i$  is not in conflict in  $K$  with  $c_j$ ,  $i \neq j$ . Then by Remark 3.1,  $a_1$  and  $a_2$  are in conflict in  $K$ , contradicting Lemma 3.1. Hence the lemma.  $\square$

**Lemma 3.4.** *The configuration of Fig. 4 is forbidden by our assumptions.*

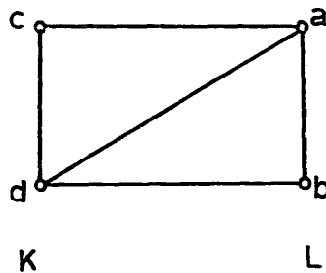


Fig. 4. A forbidden configuration. Vertices  $c, d$  are in  $K$  and vertices  $a, b$  are in  $L$ .

**Proof.** Assume  $G$  contains the configuration of Fig. 4. By Remark 3.4, the edge  $ab$  in  $L$  is in conflict with some edge  $xy$  in  $K$  with  $\overline{ax}$  and  $\overline{by}$ . If  $y=c$ , then  $G$  contains  $H_1(xdbca)$ , and otherwise  $G$  contains  $H_2(acxbdy)$ —a contradiction. Hence the lemma.  $\square$

The following three corollaries follow from Lemma 3.4.

**Corollary 3.4.1.** *There are no edges from  $A$  to  $A \cup B$ .*

**Corollary 3.4.2.** *If both ends of an edge  $xy$  in  $L$  have a common neighbor in  $K$ , then  $\deg_K x = \deg_K y = 1$ .*

**Corollary 3.4.3.** *The configuration of Fig. 5 is forbidden.*

**Proof.** Assume  $G$  contains the configuration of Fig. 5. By Corollary 3.4.2, each of the vertices  $a, b, c, d$  has only one neighbor in  $K$ . Hence if  $g$  is any other vertex in  $K$ , then  $G$  contains  $H_4(gefabcd)$ —a contradiction. Such  $g$  exists because  $G$  has triangles. Hence the lemma.  $\square$



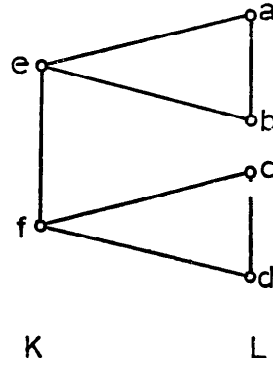


Fig. 5. A forbidden configuration. Vertices  $e, f$  are in  $K$  and vertices  $a, b, c, d$  are in  $L$ .

**Lemma 3.5.** *No two edges of  $L$  are in conflict.*

**Proof.** Assume  $ab$  and  $cd$  are two edges of  $L$  that are in conflict. Also let  $ef$  be an edge of  $K$  that is in conflict with  $ab$ , as shown in Fig. 6. Such an edge exists by Remark 3.4. Now,  $cd$  is not in conflict with  $ef$ , for otherwise  $G$  contains a triple conflict. Hence by Remark 3.2 there exists a triangle on three of the four vertices  $c, d, e, f$ .

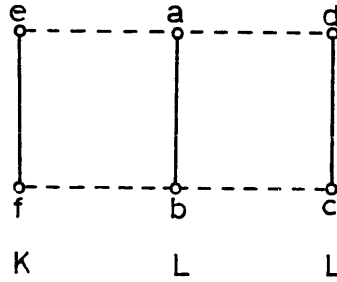


Fig. 6. A configuration in the proof of Lemma 3.5. Vertices  $e, f$  are in  $K$  and vertices  $a, b, c, d$  are in  $L$ .

**Case 1:**  $cf, df$  (the case  $ce, de$  is similar). By Corollary 3.4.2,  $\deg_K c = \deg_K d = 1$ . If  $g$  is any other vertex in  $K$ , which must exist since  $G$  has triangles, then  $bg$ , else a triple conflict on  $ab, cd, eg$ . Therefore  $\overline{ag}$ , else  $H_4(egfabdc)$ . Hence  $be$ , else a triple conflict on  $ab, cd, eg$ . But now  $G$  contains  $H_3(egfbda)$ —a contradiction.

**Case 2:**  $ed, fd$  (the case  $ec, fc$  is similar). By Lemma 3.4,  $\overline{ec}, \overline{fc}$ . If  $g$  is any vertex in  $K$  not adjacent to  $d$ , which must exist by the maximality of  $K$ , then  $bg$ , else a triple conflict on  $eg, ab, cd$ . But now  $G$  contains  $H_3(fegdbc)$ —a contradiction.

Hence the lemma.  $\square$

**Lemma 3.6.** *The configuration of Fig. 7 is forbidden.*

**Proof.** Assume  $G$  contains the configuration of Fig. 7. By Corollary 3.4.2,  $\deg_K a = \deg_K b = 1$ . By Lemma 3.5,  $ad$ , and also  $ac$  or  $bd$ .

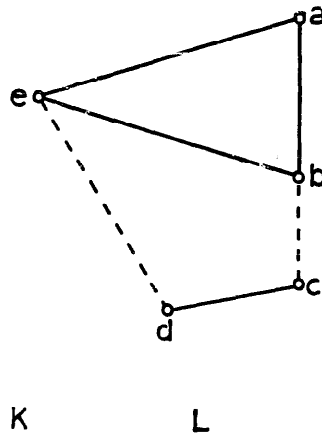


Fig. 7. A forbidden configuration. Vertex  $e$  is in  $K$  and vertices  $a, b, c, d$  are in  $L$ .

**Case 1:  $ac$ .** If  $f, g$  are any two vertices in  $K$  other than  $e$ , which must exist since  $G$  has triangles, then  $G$  contains  $H_4(befgcd)$ —a contradiction.

**Case 2:  $bd$ .** If there is a vertex  $f$  different from  $e$  in  $K$  that is not adjacent to  $c$ , then  $G$  contains  $H_3(badecf)$ —a contradiction. If not, then  $c$  is not adjacent to  $e$  and also by the maximality of  $K$ , there is a vertex  $f$  in  $K$  not adjacent to  $d$  but adjacent to  $c$ . But then  $c, f, e, b, d$  form a pentagon—a contradiction.

Hence the lemma.  $\square$

**Lemma 3.7.** *The configuration of Fig. 8 is forbidden.*

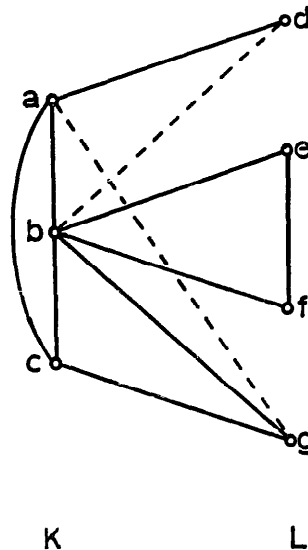


Fig. 8. A forbidden configuration. Vertices  $a, b, c$  are in  $K$  and vertices  $d, e, f, g$  are in  $L$ .

**Proof.** Assume  $G$  contains the configuration of Fig. 8. Then  $\overline{ea}, \overline{ec}, \overline{fa}, \overline{fc}, \overline{eg}, \overline{fg}$  by Lemma 3.4. Also by Lemma 3.1,  $d$  is not in  $A$ , since  $g$  is in  $A$  and  $g$  is not

comparable in  $K$  to  $d$  (see Remark 3.1). Therefore  $\overline{dc}$ . Hence there is a triple conflict on  $ad, ef, cg$  unless  $ed, df$ . But then  $G$  contains  $H_3(efbdga)$ —a contradiction. Hence the lemma.  $\square$

**Lemma 3.8.** *If  $G$  contains the configuration of Fig. 9, then no edge of  $L$  conflicts with  $ce$ .*

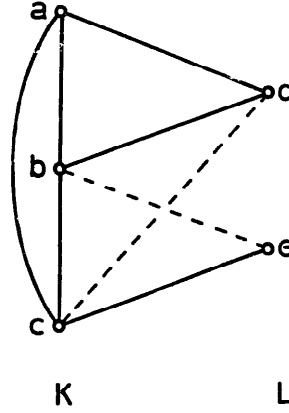


Fig. 9. No edge of  $L$  conflicts with  $ce$ . Vertices  $a, b, c$  are in  $K$  and vertices  $d, e$  are in  $L$ .

**Proof.** Assume that edge  $fg$  in  $L$  conflicts with  $ce$  due to  $\overline{cf}$  and  $\overline{eg}$ . We have three cases to consider.

*Case 1:*  $f=d$ . Clearly  $\overline{bg}$  by Lemma 3.4. But then  $G$  contains  $H_3(bacdeg)$ —a contradiction.

*Case 2:*  $g=d$ . Clearly  $\overline{bf}$  by Lemma 3.4. Therefore  $ef$  or else  $H_3(bacdef)$ . But then  $G$  contains a pentagon on  $b, c, e, f, d$ —a contradiction.

*Case 3:*  $f, g$ , are distinct from  $d$ . Edges  $bd$  and  $fg$  are not in conflict or else a triple conflict on  $bd, ce, fg$ . Now by Remark 3.2 there is a triangle on three of the vertices  $b, d, f, g$ . Lemma 3.4 rules out  $bdg$  and  $bdf$ , and Lemma 3.7 rules out  $bfg$ . Therefore  $df, dg$  and hence  $\overline{bg}$  by Lemma 3.4. But then  $G$  contains  $H_3(bacdeg)$ —a contradiction.

Hence the lemma.  $\square$

**Lemma 3.9.** *If  $G$  contains the configuration of Fig. 10, then no edge of  $L$  conflicts with  $ae$ .*

**Proof.** Assume that edge  $gh$  in  $L$  conflicts with  $ae$  due to  $\overline{ag}$  and  $\overline{eh}$ . Notice that  $\deg_K x = 1$  for  $x=c, d$  by Corollary 3.4.2, and for  $x=e$  by Lemma 3.7. We have several cases to consider (see Fig. 11).

*Case 1:*  $g=f$ . We consider two subcases.

*Case 1.1:*  $h=d$  (the case  $h=c$  is similar). We have  $\overline{cf}$  else  $H_3(dcafeb)$ . If  $t$  is any other vertex in  $K$ , then  $\overline{tf}$  else  $H_3(tbafed)$ . But now  $G$  contains  $H_8(acdfbte)$ —a contradiction.

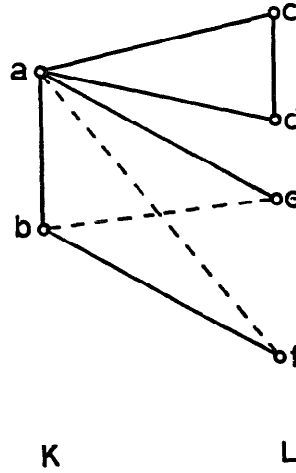


Fig. 10. No edge of  $L$  conflicts with  $ae$ . Vertices  $a, b$  are in  $K$  and vertices  $c, d, e, f$  are in  $L$ .

*Case 1.2:  $h$  is distinct from  $c, d$ .* By Lemma 3.6  $ch, dh, \overline{ce}, \overline{de}$  and then  $\overline{ef}$ . Also  $\overline{fd}, \overline{fc}$  or else we are in Case 1.1. Then  $ah$  else  $H_3(cdahef)$ . Therefore  $\overline{bh}$  else  $H_1(fhdba)$ . Let  $t$  be a third vertex of  $K$ . If  $tf$ , then  $H_3(btafeh)$ , and if  $\overline{tf}$ , then  $H_8(atbfhce)$ —a contradiction.

*Case 2:  $g \neq f$ .* We consider three subcases.

*Case 2.1:  $h = f$ .* We have  $eg$  or else we are in Case 1.2. Also  $\overline{bg}$  by Corollary 3.4.3. But now  $H_7(aegfb)$ —a contradiction.

*Case 2.2:  $h = d$  (the case  $h = c$  is similar).* We have  $\overline{eg}$  by Lemma 3.6, and then  $\overline{fg}$  or else we are in Case 1.2. But now there is a triple conflict on  $dg, ae, bf$ —a contradiction.

*Case 2.3:  $h$  is distinct from  $c, d, f$ .* We must have  $\overline{fh}$  or else we are in Case 1.2. Now  $bg$  or else there is a triple conflict on  $bf, ae, gh$ . Then  $\overline{fg}$  and  $\overline{bh}$  by Corollary 3.4.3. But then there is a triple conflict on  $gh, ae, bf$ —a contradiction.

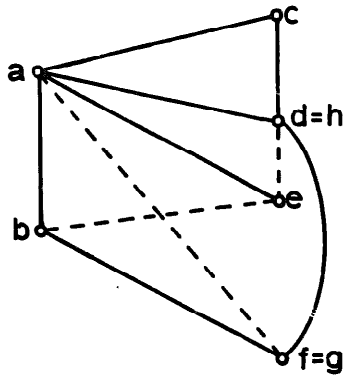
Hence the lemma.  $\square$

**Lemma 3.10.** *If  $G$  contains the configuration of Fig. 12, then at least one of  $ac$  and  $bd$  does not conflict with any edge of  $L$ .*

**Proof.** Assume  $ef$  and  $gh$  are two edges in  $L$  such that  $ef$  conflicts with  $ac$  due to  $\overline{ae}$  and  $\overline{cf}$ , and  $gh$  conflicts with  $bd$  due to  $\overline{bg}$  and  $\overline{dh}$ . Notice that the edges  $gh$  and  $ef$  are distinct, or else we have a triple conflict. However, some endpoints of the edges  $ac, bd, ef, gh$  may coincide. Thus we have several cases to consider.

*Case 1:  $ac, bd, ef, gh$  are pairwise non-incident* (see Fig. 13). To avoid triple conflict on  $ef, ac, bd$  and by Remark 3.2 and Lemmas 3.6 and 3.9, we must have  $de$  and  $df$ , and by symmetry  $cg$  and  $ch$ . But then  $ch$  and  $df$  are in conflict, contradicting Lemma 3.5.

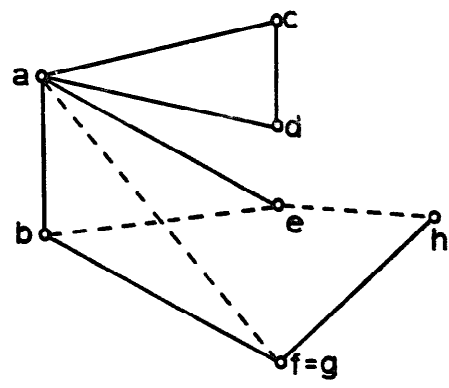
*Case 2:  $ef$  and  $gh$  are incident.* In this case  $G$  must contain one of the configurations shown in Fig. 14 or one obtained by symmetry.



K

L

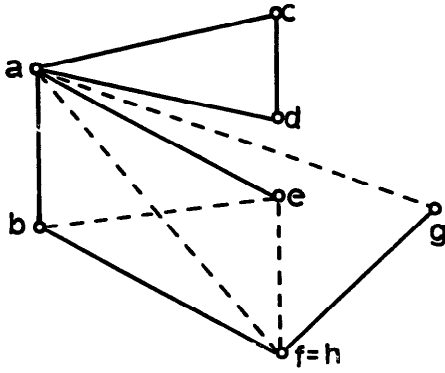
Case 1.1



K

L

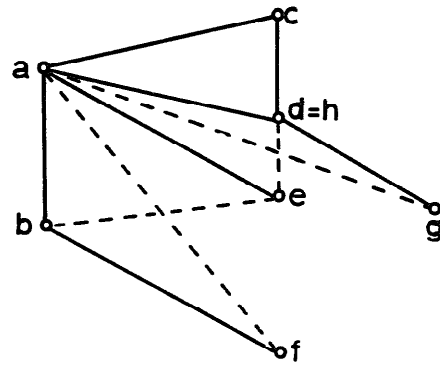
Case 1.2



K

L

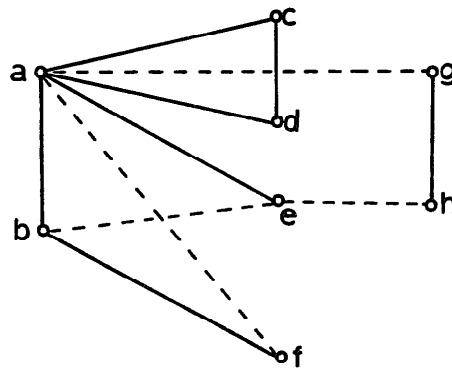
Case 2.1



K

L

Case 2.2



K

L

Case 2.3

Fig. 11. Cases in the proof of Lemma 3.9. Vertices  $a, b$  are in  $K$  and vertices  $c, d, e, f, g, h$  are in  $L$ .

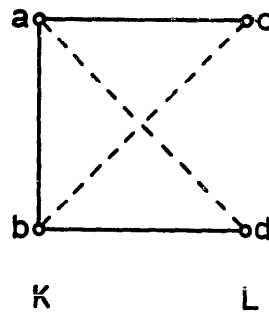


Fig. 12. One of  $ac, bd$  does not conflict with any edge of  $L$ . Vertices  $a, b$  are in  $K$  and vertices  $c, d$  are in  $L$ .

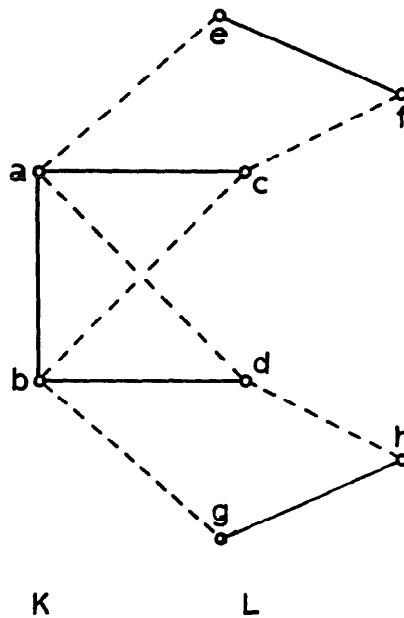


Fig. 13. Case 1 in the proof of Lemma 3.10. Vertices  $a, b$  are in  $K$  and vertices  $c, d, e, f, g, h$  are in  $L$ .

**Case 2.1.** Clearly  $H$  contains  $H_7(acedb)$ —a contradiction.

**Case 2.2.** We have  $bf$  or else a triple conflict on  $ac, bd, ef$ . Then  $\overline{be}$  by Lemma 3.9 and hence  $df$  to avoid a triple conflict on  $ac, bd, ef$ . But this contradicts Lemma 3.6.

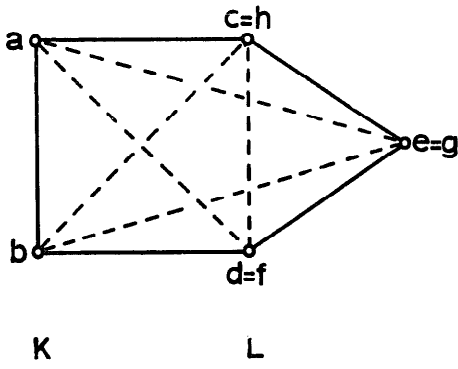
**Case 2.3.** We must have  $de$  or else we are in Case 2.2. But now  $H_7(acedb)$ —contradiction.

**Case 2.4.** We have  $ch$  or else a triple conflict on  $ac, bd, gh$ . Also by symmetry  $df$ . But now  $ch$  and  $df$  conflict, contradicting Lemma 3.5.

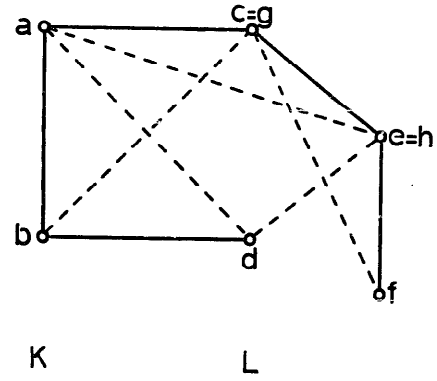
**Case 2.5.** To avoid triple conflict on  $ef, ac, bd$  and by Remark 3.2 and Lemma 3.9, we have  $be, de$ . But this contradicts Lemma 3.6.

**Case 2.6.** To avoid a triple conflict on  $ac, bd, fh$  and by Remark 3.2 and Lemma 3.6, we must have  $af, ah$ . But this contradicts Lemma 3.9.

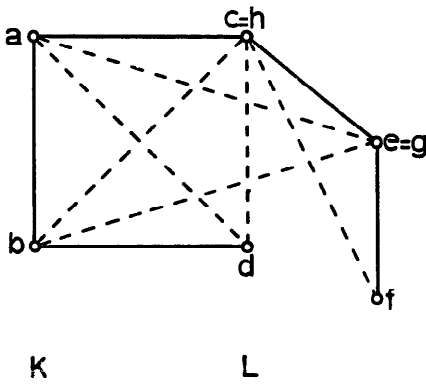
**Case 3:**  $ef$  and  $gh$  are not incident, but not Case 1. In this case  $G$  contains one of the configurations shown in Fig. 15 or a symmetric one.



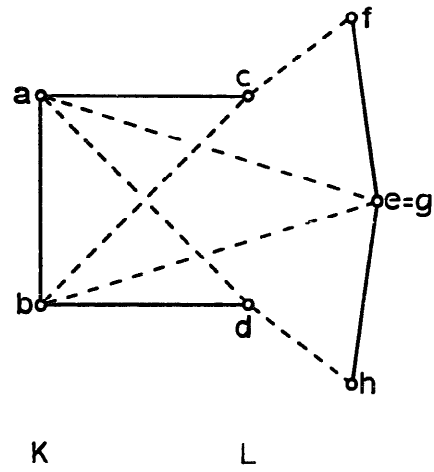
Case 2.1



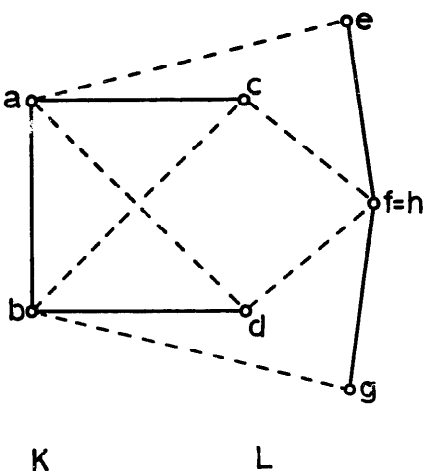
Case 2.2



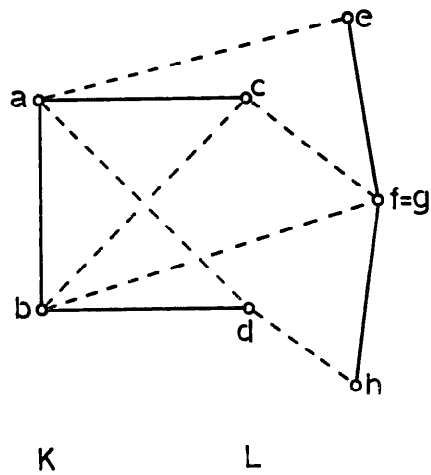
Case 2.3



Case 2.4

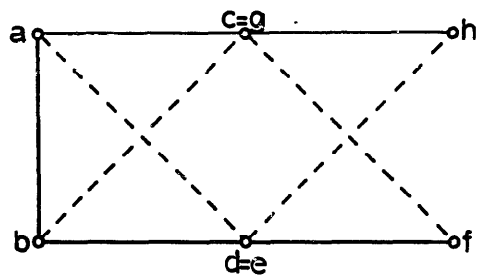


Case 2.5



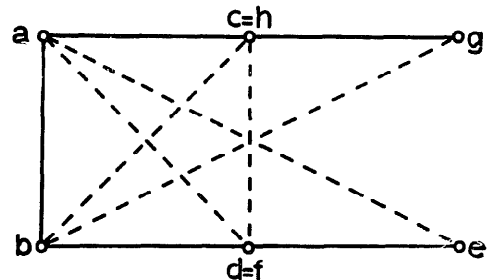
Case 2.6

Fig. 14. Case 2 in the proof of Lemma 3.10. Vertices  $a, b$  are in  $K$  and vertices  $c, d, e, f, g, h$  are in  $L$ .



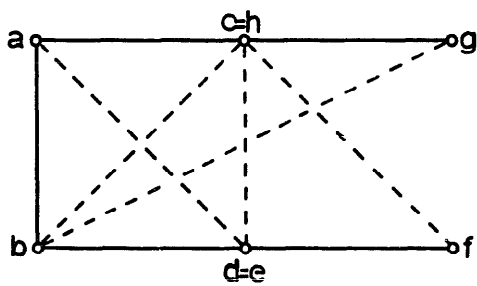
K L

Case 3.1



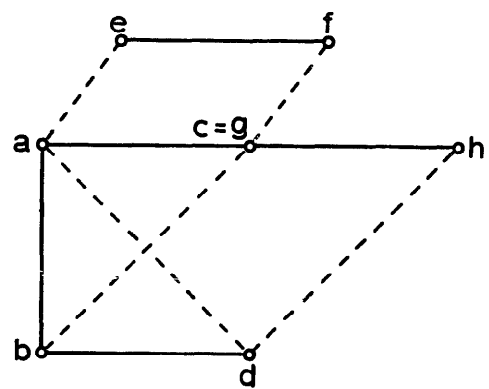
K L

Case 3.2



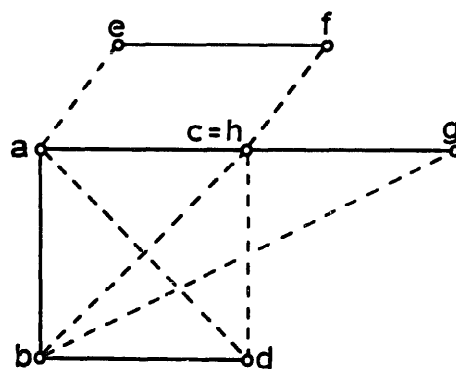
K L

Case 3.3



K L

Case 3.4



K L

Case 3.5

Fig. 15. Case 3 in the proof of Lemma 3.10. Vertices  $a, b$  are in  $K$  and vertices  $c, d, e, f, g, h$  are in  $L$ .



*Case 3.1.* Two edges in  $L$  conflict, contradicting Lemma 3.5.

*Case 3.2.* We have  $dg$  or  $ce$  or else we are in Case 3.1. Assume without loss of generality  $dg$ . Now  $ag$  or else  $H_7(acgdb)$ . But this contradicts Lemma 3.6.

*Case 3.3.* We have  $\overline{ag}$  by Lemma 3.6. Also  $dg$  to avoid Case 3.1. But then  $H_7(acgdb)$ —a contradiction.

*Case 3.4.* To avoid a triple conflict on  $ef, ac, bd$  and by Remark 3.2 and Lemmas 3.6 and 3.9, we have  $de, df$ . But now edges  $df$  and  $ch$  are in conflict, contradicting Lemma 3.5.

*Case 3.5.* We have  $dg$  or else we are in Case 3.4. Also  $\overline{ag}$  by Lemma 3.6. But now  $H_7(acgdb)$ —a contradiction.

Hence the lemma.  $\square$

We now show, using the above ten lemmas, that if  $G$  does not contain any of the configurations of Fig. 3, then  $G$  is a strict 2-threshold graph. That is, by (1.3),  $E(G)$  can be partitioned into two colors, say red and blue, so that (i) each color induces a threshold graph, and (ii) no triangle of  $G$  is mixed (we say that a triangle is *mixed* if its edge set contains both colors).

Notice that by Lemma 3.2, the size of  $N_K(B)$  is at most 2. We consider all possible values of  $|N_K(B)|$  and show in each case that  $G$  is a strict 2-threshold graph.

*Case 1:*  $|N_K(B)| = 0$ .

**Lemma 3.11.** *In Case 1,  $G$  is a strict 2-threshold graph.*

**Proof.** Since  $N_K(B)$  is empty,  $B$  is also empty. Color the edges of the graph induced by  $K \cup A$  red and all other edges blue.

$K$  is a clique and  $A$  is a stable set by Corollary 3.4.1. Further, any two vertices of  $A$  are comparable in  $K$  by Lemma 3.1. Hence the red edges form a threshold graph by Lemma 1.1.

Each blue edge is either a  $C$ -edge or an edge entirely in  $L$ . Two edges in  $L$  do not conflict by Lemma 3.5. Two  $C$ -edges cannot conflict, since they are incident in  $K$  by Lemma 3.3. Further, an edge in  $L$  cannot conflict with a  $C$ -edge by Lemma 3.8. Thus no two blue edges are in conflict, and hence by (1.1) the blue edges form a threshold graph as well.

Finally, assume there is a mixed triangle on vertices  $a, b, c$  with  $ab$  red. At least one of the two vertices  $a, b$  is in  $K$ . Clearly  $c$  is in  $C$ . If both  $a$  and  $b$  are in  $K$ , then  $\deg_K c = 2$ , which is impossible by the definition of  $C$ . Assume without loss of generality that  $a$  is in  $K$  and  $b$  is in  $A$ . Then by Corollary 3.4.2,  $\deg_K b = 1$ , contradicting the definition of  $A$ . Thus there are no mixed triangles, proving the lemma.  $\square$

*Case 2:*  $|N_K(B)| = 1$ .

**Lemma 3.12.** *In Case 2,  $G$  is a strict 2-threshold graph.*

**Proof.** Partition  $B$  into two sets  $P$ ,  $Q$  as follows:

$$P := \{x \in B : x \text{ is isolated in } G[B]\}$$

(here  $G[B]$  denotes the graph induced by the vertices in  $B$ ),

$$Q := B \setminus P.$$

Color the edges of the graph induced by  $K \cup A \cup P$  red and all the other edges blue.

$A \cup P$  is a stable set by Corollary 3.4.1 and further, any two vertices in  $A \cup P$  are comparable in  $K$  by Lemma 3.1, the definition of  $B$ , and the condition of Case 2. Hence again by Lemma 1.1, the red edges form a threshold graph.

To show that the blue edges form a threshold graph, we distinguish between two cases.

*Case 2.1:  $Q$  is empty.* In this case, as in Lemma 3.11, the blue edges form a threshold graph.

*Case 2.2:  $Q$  is not empty.* In this case, by Lemma 3.7,  $C$  is empty. Once again, no two edges in  $L$  are in conflict by Lemma 3.5. No two  $B$ -edges can conflict, since they are incident in  $K$  by the condition of Case 2. Finally, a  $B$ -edge with one end in  $Q$  cannot conflict with an edge in  $L$  by Lemma 3.6. Hence the blue edges form a threshold graph as well.

Now assume  $G$  contains a mixed triangle on vertices  $a, b, c$  with  $ab$  red. Clearly then  $\{a, b\} \subseteq K \cup A \cup P$  and  $c \notin K \cup A \cup P$ . Now if  $\{a, b\} \subseteq K$ , then  $\deg_K c \geq 2$ , and hence  $c \in A$  – a contradiction. Also  $\{a, b\} \not\subseteq A \cup P$ , since  $A \cup P$  is a stable set by Corollary 3.4.1. Therefore assume without loss of generality that  $a \in K$  and  $b \in A \cup P$ . Then  $c \notin Q$ , hence  $c \in C$  by Corollary 3.4.1. But now by Corollary 3.4.2,  $\deg_K b = 1$  and hence  $b \in P$ . Therefore the vertices  $b$  and  $c$  have a common neighbor  $a$  in  $K$ , contradicting Remark 3.3. It follows that  $G$  has no mixed triangles, hence the lemma.  $\square$

*Case 3:  $|N_K(B)| = 2$ .*

**Lemma 3.13.** *In Case 3,  $G$  is a strict 2-threshold graph.*

**Proof.** First, by Lemma 3.2 and Remark 3.3, it follows that  $C$  is empty. Let  $N_K(B) = \{r, s\}$  and partition  $B$  into two non-empty sets  $R$  and  $S$  as follows:

$$R := \{x \in B : x \text{ is adjacent to } r\},$$

$$S := \{x \in B : x \text{ is adjacent to } s\}.$$

By Corollary 3.4.3, it follows that at least one of  $R$  and  $S$  is a stable set. We distinguish between two cases.

*Case 3.1: Both  $R$  and  $S$  are stable sets.* By virtue of Lemma 3.10, we may assume without loss of generality that no edge in  $L$  is in conflict with any edge from  $K$  to

*S.* Color the edges induced by  $KUA \cup R$  red and all the other edges blue. It is easy to show that each color forms a threshold graph: for the red edges as in Lemma 3.12 and for the blue edges by Lemma 3.5 and our recent assumption.

Assume  $G$  contains a mixed triangle on vertices  $a, b, c$ , with  $ab$  red. Again, as in Lemma 3.12, we may assume without loss of generality that  $a$  is in  $K$ ,  $b$  is in  $A \cup R$  and  $c$  is in  $S$ . Hence by Corollary 3.4.2,  $\deg_K b = \deg_K c = 1$ , and hence  $b \in R$ . But then  $b$  and  $c$  have a common neighbor  $a$  in  $K$ , contradicting the definitions of  $R$  and  $S$ . Hence  $G$  does not contain any mixed triangles, proving the lemma in this case.

*Case 3.2: Only one of  $R$  and  $S$  is a stable set, say  $R$ .* Observe that in this case, no edge of  $L$  can be in conflict with any edge from  $K$  to  $S$  by Lemmas 3.6 and 3.9. The rest of the argument is the same as in Case 3.1. Hence the lemma.  $\square$

**Theorem 3.14.** *If  $G$  does not contain any of the configurations of Fig. 3, then  $G$  is a strict 2-threshold graph.*

**Proof.** Follows from Lemmas 3.11, 3.12 and 3.13.  $\square$

**Theorem 3.15.** *A graph  $G$  is a strict 2-threshold graph if and only if  $G$  does not contain any of the configurations  $H_1, H_2, \dots, H_8$  of Fig. 3.*

**Proof.** Follows from Theorems 2.2 and 3.14.  $\square$

## References

- [1] V. Chvátal and P.L. Hammer, Aggregation of inequalities in integer programming, *Ann. Discrete Math.* 1 (1977) 145–162.
- [2] V. Chvátal, C.T. Hoang, N.V.R. Mahadev and D. de Werra, Four classes of perfectly orderable graphs (to appear).
- [3] M. Cozzens and R. Leibowitz, Private communication.
- [4] M.C. Golumbic, *Algorithmic Graph Theory and Perfect Graphs* (Academic Press, New York, 1980).
- [5] P.L. Hammer and N.V.R. Mahadev, Bithreshold graphs, *SIAM J. Algebraic Discrete Methods* 6 (1985) 497–506.
- [6] P.L. Hammer and N.V.R. Mahadev, Intershield graphs, *Utilitas Math.* 27 (1985) 207–215.
- [7] P.L. Hammer, N.V.R. Mahadev and U.N. Peled, Some properties of 2-threshold graphs, *Networks* (to appear).
- [8] P.L. Hammer, N.V.R. Mahadev and U.N. Peled, Bipartite bithreshold graphs (to appear).
- [9] F. Harary, On the notion of balance of a signed graph, *Michigan Math. J.* 2 (1953) 143–146.
- [10] T. Ibaraki and U.N. Peled, Sufficient conditions for graphs to have threshold number 2, *Ann. Discrete Math.* 11 (1981) 241–268.
- [11] M. Yannakakis, The complexity of the partial order dimension problem, *SIAM J. Algebraic Discrete Methods* 3 (1982) 351–358.